



ROUND TABLE

WEALTH MANAGEMENT

SEC Form ADV Part 2B – Disclosure Brochure Supplement

www.roundtablewealth.com

[STEVEN J. GIACONA](#)

[ROBERT C. DAVIS](#)

[USHIR SHAH](#)

[BRUCE HYDE](#)

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This brochure supplement provides information about the above named individuals that supplements the Round Table Wealth Management brochure. You should have received a copy of that brochure. Please contact Ushir Shah at ushir@roundtablewealth.com or at (646) 561-3220 if you did not receive the Round Table Wealth Management brochure or if you have any questions about the contents of this supplement. The information in this brochure has not been approved or verified by the SEC or by any state securities authority.

Additional information about Round Table Wealth Management also is available on the SEC's website at www.adviserinfo.sec.gov.

Registration with the SEC does not imply a certain level of skill or training.

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Steven J. Giacona

Educational Background & Business Experience

Born 1964

Education and Designations:

Marist College: 1986 BS Accounting
Fordham University: 1993 MS Taxation
New York State Certified Public Accountant (CPA¹): 1988

Business Background:

Round Table Management, Managing Member: 10/1999 to present

Disciplinary Information

There are no legal or disciplinary events to report.

Other Business Activities

There are no other business activities to report.

Additional Compensation

There is no additional compensation to report.

Supervision

As RTWM's Managing Member, Mr. Giacona maintains ultimate responsibility for the company's operations. He is a member of the firm's Investment Committee that oversees all investment activities including investment decisions, operations, and review of accounts. Mr. Giacona can be reached directly by calling the telephone number on the cover of this brochure.

Robert C. Davis

Educational Background & Business Experience

Born 1970

Education and Designations:

Southern Methodist University: 1992 BA History
Southern Methodist University: 1997 MBA Finance/Accounting
Chartered Financial Analyst (CFA²): 2000

Business Background:

Round Table Wealth Management, Chief Investment Officer: 4/2006 to present

Disciplinary Information

There are no legal or disciplinary events to report.

Other Business Activities

There are no other business activities to report.

Additional Compensation

There is no additional compensation to report.

Supervision

As RTWM's Chief Investment Officer, Mr. Davis is responsible for setting the investment direction of the firm. He is a member of the firm's Investment Committee that oversees all investment activities including investment decisions, operations, and review of accounts. Mr. Davis can be reached directly by calling the telephone number on the cover of this brochure.

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Ushir N. Shah

Educational Background & Business Experience

Born 1976

Education and Designations:

Boston University: 1998 BS Computer Systems Engineering

Cornell University: 2012 MBA Finance/Entrepreneurship

Business Background:

Round Table Wealth Management, Chief Operating & Compliance Officer: 10/2011 to present

Disciplinary Information

There are no legal or disciplinary events to report.

Other Business Activities

There are no other business activities to report.

Additional Compensation

There is no additional compensation to report.

Supervision

As RTWM's Chief Operating & Compliance Officer, Mr. Shah is involved in the overall operations of the firm, and SEC compliance matters. Mr. Shah can be reached directly by calling the telephone number on the cover of this brochure.

Bruce Hyde

Educational Background & Business Experience

Born 1965

Education and Designations:

Lafayette College: 1987 BA Economics and Business

Seton Hall University: 1989 MS Taxation

New Jersey Certified Public Accountant (CPA¹): 1990

Business Background:

Round Table Wealth Management, Wealth Advisor: 06/2012 to present

Disciplinary Information

There are no legal or disciplinary events to report.

Other Business Activities

There are no other business activities to report.

Additional Compensation

There is no additional compensation to report.

Supervision

As a Wealth Advisor, Mr. Hyde is integrally involved in the investment decision-making process. He is a member of the firm's Investment Committee that oversees all investment activities including investment decisions, operations, and review of accounts. Mr. Hyde can be reached directly by calling the telephone number on the cover of this brochure.

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1) Certified Public Accountant (CPA) Minimum Qualifications: CPA is the statutory title of qualified accountants in the United States who have passed the Uniform Certified Public Accountant Examination and have met additional state education and experience requirements for certification as a CPA. In most U.S. states, only CPAs who are licensed are able to provide to the public attestation (including auditing) opinions on financial statements. In order to become a CPA in the United States, a candidate must sit for and pass the Uniform Certified Public Accountant Examination (Uniform CPA Exam), which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy. In addition to the CPA exam, most states also require the completion of a special examination on ethics and that specific education and work experience minimums are met. CPAs are also required to take continuing education courses in order to renew their license. Requirements vary by state but the majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year.

2) Chartered Financial Analyst (CFA) Minimum Qualifications: The Chartered Financial Analyst (CFA) designation is an international professional certification issued by the CFA Institute (formerly AIMR) to qualified candidates who complete a series of three examinations. To become a candidate for a CFA charter, candidates must meet one of the following requirements: 1) Undergraduate degree and four years of professional experience involving investment decision-making, or; 2) Four years qualified work experience (full time, but not necessarily investment related). Candidates may become a CFA Charterholder if they successfully pass three course exams, Levels 1, 2, and 3. The CFA Institute has stated that the average candidate may need approximately 250 hours of study for each of the three levels. The CFA curriculum includes these topic areas: Ethical and Professional Standards; Quantitative Methods (such as the time value of money, and statistical inference); Economics; Financial Reporting and Analysis; Corporate Finance; Analysis of Investments; Portfolio Management and Analysis. CFA Charterholders are also obligated to adhere to a strict Code of Ethics and Standards governing their professional conduct.

3) Certified Financial Planner (CFP) Minimum Qualifications: CFP professionals must develop their theoretical and practical financial planning knowledge by completing a comprehensive course of study at a college or university offering a financial planning curriculum approved by CFP Board. CFP practitioners must pass a comprehensive two-day, 10-hour CFP® Certification Examination that tests their ability to apply financial planning knowledge in an integrated format. Based on regular research of what planners do, the exam covers the financial planning process, tax planning, employee benefits and retirement planning, estate planning, investment management and insurance. CFP professionals must have three years minimum experience in the financial planning process prior to earning the right to use the CFP certification marks. As a result, CFP practitioners possess financial counseling skills in addition to financial planning knowledge.] As a final step to certification, CFP practitioners agree to abide by a strict code of professional conduct, known as CFP Board's Code of Ethics and Professional Responsibility that sets forth their ethical responsibilities to the public, clients and employers. CFP Board also performs a background check during this process, and each individual must disclose any investigations or legal proceedings related to their professional or business conduct.