CORPORATE EXECUTIVE SERVICES

Financial planning for an executive can be challenging due to the unique and complex compensation and benefits packages offered as well as any regulatory trading restrictions.

Comprehensive Wealth Management for Corporate Executives

As an executive, your time is scarce and attending to your personal financial affairs often becomes a second priority. Round Table Wealth Management has been working with corporate executives for over 20 years and we understand the issues you face. Our goal is to provide executives with customized financial plans that will help you and your families achieve your financial goals.

Compensation & Benefits

Corporate executives often receive a significant portion of their compensation in the form of company stock and complex benefits packages. Understanding how your benefits package ties into your overall financial plan and goals is critical. We help executives with:

- Understanding the benefits offered by your company.
- Assessing the tax impact surrounding Incentive Stock Options vs. Non-Qualified Stock Options.
- Reviewing restricted stock and Rule 144 requirements.
- Ensuring 401k and deferred compensation benefit plans are optimized.
- Evaluating and assessing risk in conjunction with insurance coverage provided by your firm.

Holistic Financial Planning

Retirement may seem like a long way away, but there is much planning that needs to occur ahead of the event to ensure you can achieve your goals and objectives.

- Understanding retirement savings goals and creating a plan to ensure you stay on track to achieve those goals.
- Managing cash flow and liquidity needs.
- Reviewing your estate plan to ensure it meets your intended goals, while also being tax efficient.
- Determining how to best fund education expenses for children.
- Identifying optimal financing for any borrowing needs.
- Helping with tax efficient philanthropy.
- Providing proactive tax planning and coordinating with your accountant to minimize annual tax liabilities.

Investment Management

Designing the appropriate asset allocation and managing the investment portfolio is critical for you to stay on track with your financial goals.

- Determining the appropriate asset classes and overall allocation to optimize risk and return characteristics.
- Strategically positioning assets between taxable and non-taxable accounts to maximize after-tax returns.
- Rebalancing the portfolio to ensure there are no dramatic shifts away from allocation targets and to tax loss harvest, when appropriate.
- Establishing a strategic plan around concentrated stock.
- Ensuring the investment strategy continues to support long-term goals, while maintaining a suitable risk tolerance.

CORPORATE EXECUTIVE SERVICES

Why Hire a Financial Advisor?

- **To assist you in understanding your unique compensation and benefits packages.**
- **To help you make informed, coordinated, and tax-efficient decisions across your entire financial life.**
- **To act as a central point of contact for all family financial matters, including coordination with lawyers, accountants, and other financial professionals.**
- **To help you accomplish your objectives– advice is great, but execution is critical.**
- **To alleviate unnecessary stress in navigating your financial life, allowing you to focus on your career and family.**

Round Table Wealth Management understands the pressures of leading a busy professional life and how you rarely have time to attend to your own personal financial issues. We are fiduciaries and we take a client-centered approach to everything we do. We act as your single point of contact to manage and coordinate all aspects of your financial life.

WE DON'T JUST PLAN, WE EXECUTE!

Mariella Foley
CFP®, ADPA, CDFA
Wealth Advisor

Mariella Foley, Partner, Wealth Advisor with Round Table Wealth Management, has been with the firm since 2000 and has over 25 years of experience in the financial services industry. Her responsibilities include all aspects of wealth management such as investments, income taxes, cash flow management as well as overall financial decision making for her clients. Mariella leads Women of Clarity™ and speaks at local events, where she emphasizes the importance of women being involved in their finances and teaches women how to get started.

Anthony Rosetti
CFA
Wealth Advisor

Anthony Rosetti is a Director, Wealth Advisor at Round Table Wealth Management, based out of our Boca Raton, Florida office. Anthony is responsible for developing and managing clients and coordinating all aspects of the Investment Management and Financial Advisory services for his relationships.

Eric Thompson
CFP®
Wealth Advisor

Eric Thompson is a Director, Wealth Advisor at Round Table Wealth Management. He is responsible for developing customized asset allocations, comprehensive financial planning, as well as coordinating day-to-day client needs.